Under the Paperwork Reduction Act of 1995, no persons are recurred to

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

	Application Number Filing Date First Named Inventor Christ		09546031			
			2000-04-10			
			topher Keith			
	Art Unit		3692			
	Examiner Name Clem		ent B. Graham			
	Attorney Docket Number		IVEN125461			

				Attorney Do	ocket Number	IVEN125461			
				U.S	S.PATENTS			Remove	
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Pat of cited Doc	tentee or Applicant ument	Relevan	Columns,Line nt Passages Appear	
	1	6553346	B1	2003-04-22	Walker				
	2	7058601	B1	2006-06-06	Paiz				
If you wis	h to a	dd additional U.S. Pate	nt citatio	n information	please click the	Add button.		Add	
			U.S.P	ATENT APP	ICATION PUB	LICATIONS		Remove	
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Pat of cited Doc	tentee or Applicant ument	Relevan	Columns,Line nt Passages Appear	
	1								
If you wis	h to a	dd additional U.S. Publ	ished Ar	plication citat	ion information	please click the Ad	d button.	Add	
				FOREIGN P	ATENT DOCUM	MENTS		Remove	
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Countr Code <sup>2</sup>		Publication Date	Name of Patente Applicant of cited Document	e or	Pages,Columi vhere Releval Passages or F Figures Appea	nt Relevant
	1								1
	ļ.								'
If you wis	Ĺ	dd additional Foreign P	atent Do	cument citatio	on information p	please click the Add	l button	Add	

#### 

Examiner Initials*	miner Cite lockude name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the (book, magazine, journal, sesial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.				
	1	The Options Institute, "Options Essential Concepts & Trading Concepts," 3rd ed., McGraw-Hill, New York, 1999, p. 420			

If you wish to add additional non-patent literature document citation information please click the Add button Add

EXAMINER SIGNATURE

Examiner Signature Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a

citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

See Kind Codes of USPTO Patent Documents at sever USPTO\_GOLY or MPEP 90.04. Enter office that issued the document, by the No elected (WIPO-Standard ST3.) "For Lapsease patent for counters, the indication of the year of the response represent personal research see serial response to serial response to passed in counters, the indication of the year of the response response represent the resist response to the part document.

\*Kind of counters by the appropriate symbols as indicated on the document under WIPO Standard ST 16 if possible. \*Applicant is to place a check mark here if English languages translation as altached.

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number			09546031
	Filing Date		2000-04-10
	First Named Inventor	Christ	topher Keith
	Art Unit		3692
	Examiner Name	Cleme	ent B. Graham
	Attorney Docket Number		IVEN125461

#### CERTIFICATION STATEMENT

Please see 37	CFR 1	.97 and	1.98 to make the	appropriate	selection(	s)	
---------------	-------	---------	------------------	-------------	------------	----	--

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

## OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1,59(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1,579(c).

See attached certification statement.

Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

Kevan L. Morgan

None

Name/Print

#### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

form of the signature.			
Signature	/Keyan L. Morgan/	Date (YYYY-MM-DD)	2006-12-13

Registration Number

42015

This collection of information is required by 3T CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file and by the USPTO to process) an application. Confidentiality is governed by \$5 U.S. C. 12 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application from to the USPTO. There will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. operatment of Comments o

### Privacy Act Statement

The Privacy Act of 1974 (P. L. 95-79) requires that you be given centain information in connection with your submission of the stacked form related to a penter application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is SU.S.C. (2)(2); (2) furnishing of the information solicided to is coluntary, and (3) the principal purpose for which the information is used by the U.S. Patent and Trademan XOTICs is to process and/or examine your submission related to a patent agricultant or patent. If you do not furnish the requested process and/or examine your submission related to a patent agricultation or patent. If you do not furnish the requested requirement of the patent of the patent and the patent of the

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
  - A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing coursel in the course of settlement negotiations.
  - A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the suited matter of the record
  - A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S. C. 552(m).
  - A record related to an International Application filed under the Patent Cooperation Treaty in this system of records
    may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant
    to the Patent Cooperation Treaty.
  - A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
  - 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or hisher designed, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 4 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals or part individuals.
- A record from this system of records may be disclosed, as a routine use, to the public after either publication of the
  application pursuant to 35 U.S. C. 152(b) or issuance of a patent pursuant to 35 U.S. C. 151. Further, a record may fed
  isclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the accord was filed in application
  which became abandoned or in which the proceedings were terminated and which application is referenced by either a
  published application, an application peen to public inspections or an issued patent.
  - A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.